

Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

St. Galler Kantonalbank AG

Name of Transferee

Name and Address where notices  
to transferee should be sent:

St. Galler Kantonalbank AG  
St. Leonhardstr. 25  
Postfach  
CH-9001 St. Gallen

Phone: 0041 71 231 34 13

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Credit Suisse AG

Name of Transferor

Court Claim # (if known): 55829

Date Claim Filed: October 29, 2009

Amount of Claim: \_\_\_\_\_

Portion of Claim Transferred (see

Schedule I): ISIN XS0337337710

CHF 30.000 in nominal amount

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Sabrina Vitalini  
Transferee/Transferee's Agent

Date: 05.08.11

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Credit Suisse AG** ("Transferor") unconditionally and irrevocably transferred to **St. Galler Kantonalbank AG** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 55829**), the nominal amounts and relating securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON SEPTEMBER 6, 2011.

**CREDIT SUISSE AG**

By: Berli  
Name: Martina Berli  
Title: Vice President

By: j. A. Berli  
Name: Juliette Diallo  
Title: Assistant Vice President

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Currency and nominal amount or Units</b>
XS0337337710	55829	October 29, 2009	Lehman Brothers Securities NV	CHF 30'000.00



## Kantonalbank

St. Gallen

Phone: +41 71 231 31 31  
Fax: +41 71 231 32 32  
Internet: [www.sgkb.ch](http://www.sgkb.ch)

St.Galler Kantonalbank AG  
St. Leonhardstrasse 25  
Postfach  
9001 St. Gallen

### By Courier

Epiq Bankruptcy Solutions, LLC  
Attn: Lehman Brothers Holdings Claims  
Processing  
757 Third Avenue, 3rd Floor  
New York, NY 10017, USA

Date 07.09.2011  
Our ref. Sfca (please mention in your answer)  
Direct tel. 071 231 34 13 / Sabrina Vitalini  
E-mail [sabrina.vitalini@sgkb.ch](mailto:sabrina.vitalini@sgkb.ch)  
Directfax 071 231 31 27

### Claim Transfer

Dear Sir or Madam

Enclosed you receive the forms 'Evidence of Transfer of Claim' and Form 210A.

If we do not hear from you, we assume that everything is ok.

Please feel free to contact the above mentioned phone number if you have any questions.

Thank you in advance.

Yours sincerely

St.Galler Kantonalbank AG

Oriana Scheuss  
Member of Management

Sabrina Vitalini  
Member of Management

PT08/10 F32 CH MP

Phone, Fax or E-mail (required)

Country

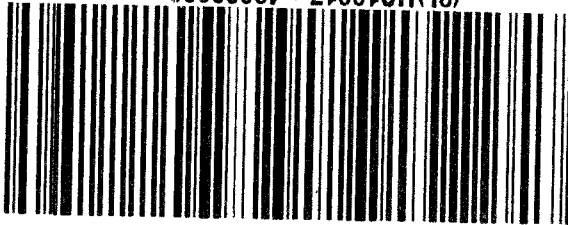
Address

Epig Bankruptcy Solutions, LLC  
Attn: Lehmann Brothers Holdings Claims  
Processing  
157 Third Avenue, 3rd Floor  
New York, NY 10017  
USA

(J)JD01 3050 1029 9004 8142



(2L)US10017 + 42000000



WYB112 9607 1335



Content description:

1102 21 SEP

Account No. 150534932  
Ref code  
Date: Pos / Sht Weight Piece  
1/1

Day Time

JFK US-ZYP-TSS

10017, United States

EXPRESS WORLDWIDE DOX

Origin: BSL

STATION CODE

44240771

11 9607 1335